

Regional Incident Management Coordinator Field Training Introduction slide to Part 1, Section 1:

Reference Materials List:

Trainer materials:

- RIMC Field Training Attendance Record
- Training Evaluation Document

Trainer handouts:

- RIMC Scenario Matrix
 (12) RIMC Scenario Matrix documents
 7
- Emergency Traffic Control & Scene Management Quick Reference (TIMA)
- RIMC Response Log (Blank)
 19

Page:

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Learning Objectives

Trainers Guidance:

• All incident responses are different but have the same key components to a greater or lesser degree

• The four key components will be emphasized and discussed in detail throughout the training, including through the three scenarios

• Communication is key to a successful or failed incident response

• Documentation is critical to reviewing and improving response practices and protocols, as well as recording feedback on lessons learned that can be used for ongoing RIMC training

Transition to next slide: Let's start with a little background



SINP HISTORY

Trainer Guidance:

- In May of 2006, WisDOT implemented the Statewide Incident Notification Process (SINP)
- Established a single point of contact through 1-800 number
- Ensures 24/7 service by directing 1-800 calls to the Statewide Traffic Operation Center (TMC)

Transition to next slide: What is the purpose of the SINP?



SINP Purpose:

Trainer Guidance:

• To provide law enforcement agencies and highway departments a mechanism for reporting transportation infrastructure problems and traffic incidents that occur on the state highway network

- Connects all 72 counties directly to DTSD
- SINP provides consistent notification and response procedures between regions
- SINP allows for tracking of incidents and needed resources statewide in one location, which makes the process much easier and streamlined
- Reduced work load of DTSD call out duties from Wisconsin State Patrol dispatchers
- SINP provides better notification to local transportation stakeholders

<u>Transition to next slide</u>: SINP required that a WisDOT responder be available any time, night or day



SINP Process

Trainer Guidance:

• One such example: Pre-SINP, each State Patrol dispatch center was provided an on-call list of electricians so dispatch could make 24/7 call outs for service. This process made documentation and accountability difficult for DTSD.

• Now, because of the SINP, we can capture the type of incidents electricians respond to.

• We can also capture the duration and type of incidents RIMCs respond to, which allows for after action reviews and documentation of best practices.

Transition to next slide: Let's look at the RIMC's role in the SINP



Overview of RIMC Role

Trainer Guidance:

- RIMCs serve as WisDOT's first responder and point of contact for incidents
- RIMC is a backup contact for on-call electrician
- RIMCs provide on-scene response support to public safety first responders
- The traffic operations goal is to maintain a safe and efficient flow of traffic for the traveling public

<u>Transition to next slide</u>: To fulfill this role, RIMCs are expected to evaluate, respond, coordinate resources, communicate and document to perform scene incident management. A matrix has been developed to assist the RIMC in responding to incidents.



RIMC Scenario Matrix

Trainers Guidance:

- Review RIMC Scenario Matrix in RIMC Field Guide
- Matrix is broken down into 12 scenarios within 5 categories and 4 Key components:
 - Evaluate/Assess
 - Response/Coordinate
 - Communicate
 - Document
- Each of the 4 key components provides suggested guidance for each of the 12 scenarios
 - Not all of the suggestions listed are relevant to each scenario (Explain the "X"s in the matrix)

<u>Transition to next slide</u>: Next we are going to talk in depth on the 4 key components of the matrix and how they fit into RIMC response expectations

Trainer handouts:

- RIMC Scenario Matrix
- (12) RIMC Scenario Matrix Documents



RIMC Response Expectations: Evaluate/Assess

Trainer Guidance:

- Evaluate incident response scope and needs
- Ensures proper traffic control measures and Traffic Incident Management Area (TIMA) are in place
- The Evaluate/Assess suggestions list in the RIMC Scenario Matrix is not all inclusive

<u>Transition to next slide</u>: RIMCs must utilize the information they have gathered to determine proper response and needed coordination efforts

Trainer handout:

• Emergency Traffic Control and Scene Management Guidelines – (TIMA)



RIMC Response Expectations: Respond/Coordinate

Trainer Guidance:

• RIMC is expected to provide response support and coordination of any needed resources for all the incidents they are called for

- Discuss the Response/Coordinate list in the RIMC Scenario Matrix
- This list of suggestions is not all inclusive

<u>Transition to next slide</u>: Just as important as coordinating resources and helping to manage a scene is making sure to communicate and document what is happening at the scene



RIMC Response Expectations: Communicate and Document

Trainer Guidance:

• RIMC's incident status updates create situational awareness on all levels, internal and external

• Monitor Traffic Incident Alerts (TIAs) issued by DSP and TMC, public communications and other incident information for accuracy and additional situational awareness

• RIMCs provide real time and accurate traffic information to TMC operators for 511 travel information, TIAs, OSOW, LCS, etc.

• Document an accurate log/timeline to reflect all response and communications that transpire throughout the incident from beginning to end

<u>Transition to next slide</u>: The RIMCs role in evaluating, responding, communicating and documenting are important not only in every-day incidents, the role may become even more important when a large-scale event occurs. These infrequent large scale incidents are also known as Emergency Transportation Operation (ETO) events, which escalate the RIMC role above the normal RIMC response.



Emergency Transportation Operations (ETO) Plan

Trainer Guidance:

• The ETO Plan:

- Provides a framework that supports training and programs for response to incidents and emergency events
- Provides guidance and support to DOT initial responders
- Engages multiple disciplines
- Aids transportation of commerce
- Supports ICS principles



What is Emergency Transportation Operations (ETO)?

Trainers Guidance:

• Read or summarize slide

Transition to next slide: Let's look how the ETO Plan fits into the ETO and TIME Programs





Emergency Event Program History

Trainer Guidance:

- WisDOT Highway Emergency Liaison Personnel (WisHELPer)
 - Staff position serves at the State Emergency Operations Center (ECO) when activated
- Statewide Bureau Duty Officer (SWBDO) retired in March 2018
 - On-call staff that are responsible for serving as a single point of contact for DTSD Statewide Bureaus in an emergency
 - Responsible for deploying Statewide Bureau resources during an emergency
 - SWBDO is the supervisor for the on-call WisHELPer
- Regional Duty Officer (RDO)
 - The position of the RDO is staffed by Regional Directors and Section Chiefs
 - On-call, 24/7 management point of contact

<u>Transition to next slide</u>: In the next slides we are going to talk about the dual roles, responsibilities and expectations the RDO has in incident management



Emergency Transportation Operations

Trainers Guidance:

Minor Incident duration under 30 minutes

Examples include: Disabled vehicles, minor crashes and roadway debris

Intermediate

Incident duration between 30 minutes and 2 hours

Examples include: Rollover or multi-vehicle crashes, crashes involving personal injury and truck or tractor-trailer crashes

Major Incident duration over 2 hours

Fatal crashes or incidents that require a crash investigation, incidents involving a hazardous materials spill, overturned truck or tractor-trailer and infrastructure damage

· Major incidents can become Emergency Events

Transition to next slide: The ETO definition of an Emergency Event is...(click to next slide)





Emergency Event

Trainer Guidance:

Read definition

Transition to next slide: Some examples of Emergency Events are...(click to next slide)



Emergency Event Examples

Trainer Guidance:

- February 5-6, 2008, I-39/90 Snowstorm
- June 2008, WI Spring Flooding
- 2010 Zoo Interchange Structural Issue
- 2011 Groundhog Day Blizzard
- December 19-21, 2012 Blizzard
- July 2016 NCR and NWR and September 2016 SWR Flooding

Resource pictures: top = Ohio turnpike 3.12.2014, 3 killed, State Trooper seriously injured, 50 vehciles stretched over 10.2 miles in both directions, blowing snow and whiteout conditions

Middle = Orland, CA 4.10.2014, 10 killed, FedEx tractor trailer crossed median and struck tour bus, CHP and NTSB investigating

Bottom = Overview of Jefferson County 2008 flood



Emergency Event Examples Cont.

Trainer Guidance:

• Emergency Events are not only weather, they can be events such as the blocking of traffic above, which occurred Friday December 9, 2014 at 4:30 PM (rush hour) in Milwaukee



Emergency Event

Trainer Guidance:

- Examples of past ETO events:
 - lowa & Pennsylvania, Winter 2006/07
 - February 5-6, 2008, I-39/90 Snowstorm
 - June 2008, WI Spring Flooding
 - 2010 Zoo Interchange Structural Issue
 - 2011 Groundhog Day Blizzard
 - December 19-21, 2012 Blizzard

<u>Transition to next slide</u>: As you may know, events of this scale are infrequent. So why did WisDOT create the ETO program?



ETO Activation Response

Trainer Guidance:

• Reference: Handout 6

• Events requiring state level escalation, while in the minority, have the strongest potential to significantly impact safety, drain resources, and have the highest "visibility" in the eyes of the public

•<u>Transition to next slide</u>: Now lets look at the Traffic Incident Management Enhancement (TIME) Program











Traffic Incident Management Enhancement (TIME) Program

Trainer Guidance:

• What TIME is:

- TIM Coalition
- Traffic Control Scene Management Guidelines
- Regional TIM meetings, 20 meetings in the five DOT regions for 2018
- AARs
- Legislation:
 - Move Over law
 - Steer It Clear it

Soverview: RDO/RIMC/Operational Supervisor Roles RDOs serve as the on-call DTSD management point of contact for each region and supervise, advise and support the on-call RIMC Regional Duty Officer position staffed by: **Regional Directors and Section Chiefs** RIMCs serve as WisDOT's initial responder and agency representative involving incidents on the state highway network, from beginning to end RIMCs position is staffed by: maintenance, traffic and bridge personnel Operational Supervisor provide backup and perform on-call duties on behalf of the RDO and review, debrief and approve the RIMC eLogs

Overview of RDO/RIMC/Operational Supervisor Roles

Trainer Guidance:

Regional Duty Officer (RDO) Role

• RDO is responsible for providing situational awareness to upper management in the Region, Bureaus and Executive Office

- Being readily available to provide direct incident oversight, supervision and guidance for RIMCs
- Provide Significant Notification to the Administrator's Office
- Understand available resources and allocation of resources as needed
- The RDO will also work with appropriate field staff to jointly evaluate the need to activate the ETO Plan and corresponding Department response RIMCs Role
- RIMCs serve as WisDOT's initial responder and point of contact for incidents
- RIMC is a backup contact for on-call electrician
- RIMCs provide on-scene response support to public safety first responders
- Maintain a safe and efficient flow of traffic for the traveling public

Operational Supervisor Role

Transition to next slide: Let's look at each role further



Regional Duty Officer (RDO) Role

Trainer Guidance:

- RDO is responsible for:
 - Providing situational awareness to upper management in the Region, Bureaus and Executive Office
 - Being readily available to provide direct incident oversight, supervision and guidance for RIMCs
 - Providing significant incident notification to the Administrator's Office
 - Understanding available resources and allocation of resources as needed

• The RDO will also work with appropriate field staff to jointly evaluate the need to activate the ETO Plan and corresponding Department response

<u>Transition to next slide</u>: An RDO may request assistance from another Operations staff position to perform their duties when necessary



RDO Response Expectations

Trainer Guidance:

• Reference: RIMC Response Guidelines page 17

<u>Transition to next slide</u>: An RDO may request assistance from another Operations staff position to perform their duties when necessary



RDO Responsibilities For Emergency Events

<u>Transition to next slide</u>: That's a comprehensive list of what the RDO does – here's a look at their overall response expectations



Operations Supervisor Roles and Responsibilities

Trainer Guidance:

• Some, not all, operational supervisors in the Regions are specifically assigned the additional tasks of reviewing and approving RIMC logs and on-call schedules

• The on-call RDO may designate a RIMC Supervisor to assist in the incident management process

- The RIMC log review and approval process will:
 - Provide on-going real world training for both the experienced and new RIMC
 - Evaluate and document opportunities for improvements and best practices involved in the incident response

• The review/debrief of RIMC log, with the RIMC, should include critical incident stress awareness and resources as needed

• Review/debrief results can be shared in the weekly incident management teleconferences held with the DTSD and DSP management teams

• Supervisor is responsible for reviewing and approval of the RIMC log and for supporting and providing guidance to the mentoring/shadowing program, which we will talk about later

Transition to next slide: The Operational Supervisors expectations are...



Operations Supervisor Expectations

Trainer Guidance:

 All Regional supervisor's roles are different, depending on the size and makeup of each individual region

Transition to next slide: Here are some basic roles of other responders

Trainer Handout:

RIMC Response Log



Roles of Other Responders

Trainer Guidance:

• In most incidents there is no identified incident commander, but rather the incident is managed through a coordinated effort or unified command:

- Fire and law enforcement
- RIMC and law enforcement
- RIMC and fire
- RIMC and county highway
- Law enforcement and county highway
- Fire, law enforcement, RIMC
- · County's primary role is a support role for law enforcement and RIMCs
- Law enforcement role is primarily life safety and scene stabilization
- Fire tends to be more ICS oriented and focuses most on life safety, property preservation and hazmat

<u>Transition to next slide</u>: Now that we've defined the expectations of RIMCs, RDOs, Supervisors and others, we'll discuss how the RIMC program is structured and the tools RIMC's have at their disposal



Introduction slide to RIMC Field Training Part 1, Section 2:

Reference Materials List:

Trainer handouts:	Page
 DTSD Call Back and Standby Policy 	23
 WisDOT/DTSD RIMC Team Structure Document 	24
Contact References	29
iPad Overview Document	30
 Emergency Hazardous Response – Quick Reference 	32
 Incident Response Reference Guide 	32
 Ramp Gate Utilization – Quick Reference 	32
Cisco VPN Client User Guide	33



Regional RIMC Teams

Trainer Guidance:

- WisDOT has five regions, eight regional offices
- Each regional office has its own RIMC Team made up of 8 to 10, possibly more, RIMCs
- RIMC Teams share the responsibility of providing 24/7 response capabilities



Regional RIMC Teams

Trainer Guidance:

• RIMC serves on-call for one full week on a rotational basis with other RIMCs in the regional office

• Frequency of rotation varies region to region depending on how many RIMCs there are,

i.e. 8 RIMCs in a regional office would serve once every 2 months

- RIMCs are compensated for being on-call and follow the DTSD policy
- Refer RIMCs to the DTSD Standby and On-call Policy
 - Last updated May 8, 2014

NOTE:

• Standby pay will be paid strictly on an hourly basis at a rate of \$2.25/hr when the appointing authority requires an employee be available for work and be able to report to work in less than one hour or have the ability to work from any location (i.e., computer login access), are covering as an "on-call" expert without the necessity of returning to a specific job site or DOT location

• Employees are not eligible for standby pay during the time they are back in "work status"

<u>Transition to next slide</u>: Lets look at team structure, schedules, protocols, resources and responsibilities as this varies by region

<u>Trainer Handout</u>: DTSD Call Back and Standby Policy


Team Structure and Schedule Protocols

Trainer Guidance:

- The information for Team Structure should be provided as a handout
- Handout content should include: (See "Team Structure" form in Reference Section,

Trainer to populate with region specific information)

- The names of the specific region/office RIMCs
- Their corresponding unit, i.e. maintenance, traffic
- DOT email address and phone numbers if available
- Discuss the regional protocols for scheduling:
 - How often a RIMC will be on-call, i.e. once every 6 weeks
 - What the protocol is for the RIMC to request an on-call schedule change
 - What the RIMC should do if there is a conflict or an emergency need that is preventing the RIMC from performing the on-call duties
 - Does the RIMC need to contact someone in particular if switching with another RIMC
 - What is the procedure if the RIMC is scheduled at a time when he/she needs time off
 - Who updates the regional schedule on the ETO Repository
- Discuss the regional protocol or expectations for turning over all RIMC response equipment and information to the next on-call RIMC

<u>Transition to the next slide</u>: Now that we have talked about region structure and scheduling, let's look at RIMC resources

Trainer handout:

WisDOT/DTSD RIMC Team Structure Document



Resource Recommendations: RIMC Vehicle

Trainer Guidance:

• Regional specifics should be considered

• Review the standard list of equipment and resources a RIMC should have in their response vehicle:

- Digital Measuring Instrument
- 2-way mutual aid radio
- Fire extinguisher
- Full waders
- Hip waders
- Life vest
- Extra hard hat and high visibility safety apparel, including vests and pants
- First aid kit
- Flood light
- Stop / Slow paddles
- Field measuring devices wheels / tapes
- WisDOT Vehicle Logo magnet
- Strobe lights
- Caution tape

Transition to next slide: You must also maintain a RIMC Response bag



Resource Recommendations: RIMC Bag

Trainer Guidance:

- Trainer should review the RIMC bag explaining its contents
- With updated technology, there is less paperwork to carry. However hard copies of some documents are still recommended in case of power failure or no Internet access
- This bag will vary region to region, but the basic items should be standard
- It is expected that the items identified below be carried by the RIMC at all times:
 - WisDOT identification
 - Communication/computer equipment
 - Laptop and power supply
 - iPad w/power supplies
 - Phone w/power supply
 - RIMC Logs (paper copies)
 - State Map (paper copy)
 - Spare batteries for pertinent equipment
 - Key card to access office
 - Visor cards
 - Passwords and trouble shoot documents
 - RIMC Field Guide
- Review region specific items RIMC is required to also have in bag

Trainer handout:

• RIMC Field Guide



Electronic Resources

Trainer Guidance:

• Supervisory approval is required to download new apps or software to iPad or iPhone

Requests that will require a new cost will need budgetary approval using the Division's process



RIMC Phone

Trainer Guidance:

- Review and demonstrate your region's phone basic functions
- It is important for the RIMC to try the password when the phone is handed off to make sure they are not locked out
- Downloading may not be done without supervisory approval

Transition to next slide: Your phone should be pre-loaded with important information



Contacts and Resources

Trainer Guidance:

• Phone numbers will already be programmed into the RIMC phone, however it is important to look through the contact list to become familiar with what is in the phone

• Hand out the recommended statewide contacts document and explain how to add contacts to the phone

Transition to next slide: An iPad is another electronic tool in the RIMC toolbox

Trainer handout:

Contact References document



iPad Internet Access

Trainer Guide:

VPN:

- Used if out of range of DOT Mobile to connect to AD network
- Cellular signal or Wi-Fi Hotspot can be used
- Hand out Cisco VPN Client User Guide

• Currently you cannot access Internet websites when you are using your VPN to connect to the WisDOT network

<u>Transition to next slide</u>: During on-call rotational hand-off, here are some reminders in passing along the equipment

Trainer handout:

Cisco VPN Client Use Guide



RIMC Rotational Hand-Off Reminders

Trainer Guidance:

• Remember VPN lockout happens after 6 months of no activity

<u>Transition to next slide</u>: Now that we have talked about the tools and resources available for a RIMC to perform incident management, let's talk about incident response and protocols

Note: Good place to take a break



Introduction slide for RIMC Field Training Part 1, Section 3:

Reference Materials List:

Trainer handouts:	Page:
 RDO Notification of Significant Incident (Administrator Office Notification) 	44
 DTSD Regional Lance Closure system (LCS) Priority Routes 	44
 RM_1_RIMC_eLog-24536 	52
• RM_2_SINS_58_24536	52

Trainer Guidance:

• This section is about the RIMC's responsibilities, protocols and expectations for incident response and how they integrate with the 4 key components from the RIMC Scenario Matrix

<u>Transition to next slide</u>: Before we start, lets discuss how and why TMC makes the decision to call out the RIMC, which goes back to the Significant Incident Notification Process



SINS Call-Out

Trainer Guidance:

- The SINP Matrix includes 30 incident categories
 - i.e. bridges, signals, signing, traffic incidents, etc.
- The categories are further broken down into Incident Types
 - i.e. bridge hit/struck, buckling, system ramp closure 2 hours or longer, etc.
- Each Incident Type has an assigned incident priority there are 2 priorities:
 - Immediate Priority Call
 - Next Day Priority
- The TMC operator follows the SINS protocol for calling out the RIMC:
 - Immediate Priority Calls
 - This requires the TMC operator to make an immediate call out to the RIMC, electrician or county maintenance
 - Next day priority

• The TMC operator enters this incident in the computer data base, which is forwarded to the region to handle as soon as possible

<u>Transition to next slide</u>: Now we will get into the response protocols and responsibilities starting with the initial call



Initial RIMC Call-Out

Trainer Guidance:

• This is the beginning of the RIMC incident response process and the first of the 4 key components from the RIMC Scenario Matrix

- Evaluate/Assess
- When an incident occurs, the initial call will come from the TMC to the RIMC phone
 - The RIMC may choose to pick up right away, or let the call go to voice mail while they prepare to properly respond to the call
 - Ideally, RIMC would have a blank copy of the RIMC log or pen and paper next to bedside

Direct answer versus voice mail

- Direct
 - Immediately answer phone be prepared to copy
 - Be prepared to ask guestions about the incident
 - Refer to a blank RIMC log for needed information
- Voice Mail
 - Let call go to voice mail while you prepare to copy
 - Call the TMC back within 15 minutes
 - If TMC does not receive call back within 15 minutes, they will call you again
 - Be prepared to ask questions about the incident
 - Refer to a blank RIMC log for needed information

<u>Transition to next slide</u>: The RIMC will initially receive preliminary information from the TMC operators



TMC Initial Preliminary Information to RIMC

Trainer Guidance:

- Not all callers/agencies will provide the TMC operator the above information
- RIMC may not get all information needed initially from TMC operator, i.e. on-scene contact information

NOTE:

- All control room phone lines are recorded
- Random quality assurance checks are done regularly
- · Lines are subject to open record law

Transition to next slide: How to contact the on-scene person?



Establishing On-Scene Contact

Trainer Guidance:

- RIMC will need to make a call to the initial caller, usually dispatch
- Refer to a blank RIMC log and cover the needed information

REMINDER:

- Do not use acronyms your contact may not know what a RIMC is
- Identify yourself as a Wisconsin Department of Transportation representative, not a RIMC

Transition to next slide: As a special note...



On-Scene Contact: Special Note

Trainer Guidance:

• Some law enforcement agencies do not provide phones for their officers

• Often law enforcement can't/won't take a call until they have the scene stable and secure

Transition to next slide: On-scene contact communication



On-Scene Contact Communication

Trainer Guidance:

- · RIMC will evaluate and determine how to respond to the incident
 - If on-scene contact requests field response, RIMC shall go out and coordinate with the on-scene person
 - Ask where to meet
 - Ask how to approach the scene
 - Provide an estimated time of arrival (ETA)

 Once the on-scene contact is established and the scope of the incident and needed resources are determined

- The RIMC may need to contact the county highway department for additional resources
- The RIMC needs to determine if the incident meets the criteria for RDO notification of a Significant Incident

<u>Transition to next slide</u>: Next slides will discuss the contact of the county highway and the RDO Significant Incident Notification criteria

Trainer handouts:

Administrator Office Notification Guidelines (RDO Notification of Significant Incident)



Contacting County Highway Department

Trainer Guidance:

- To contact the county highway department after hours, use above guidance
- Identify and coordinate with county highway for resources such as:
 - Flagging, signs, county highway department's resources, plowing, etc.

<u>Transition to next slide</u>: Now let's look at process and criteria for an RDO notification of a Significant Incident



RDO Notification for Significant Incidents

Trainer Guidance:

• Criteria for RDO notification are found in the document called Administrator Office Notification Guidelines

• Refer RIMC to Administrator Office Notification Guidelines in RIMC Field Guide and review the criteria

<u>Transition to next slide</u>: Next is a picture of the guidelines, let's look at the criteria in the notification document in the RIMC Field Guide

will most often be reporte for an Administrator's Off the expectations and resp and DTSD Administrator's The RIMC will imme	Table 2 diately notify the RDO if incident satisfies any of the following criteria: RDO Notification of Significant Incident
Туре	Description
Closure	Any incident/event that causes(or is estimated to cause) the following on regional designated <u>Lane Closure System (LCS) priority routes</u> , which includes Interstates: One direction of travel for 2 hours or more Both directions of travel for 30 minutes or more
Major Infrastructure Damage	Any incident/event that causes significant damage to DOT owned infrastructure, for example: Bridge collapse, sinkhole, etc.
Fatalities	Any incident involving three or more fatalities
Natural Disaster/Weather	Any natural disater or weather event that impacts use of an <u>interstate or US freeway</u> as follows: Flooding – on any portion of the readway Flooding – on any portion of the readway Flooding – on any portion of the readway Flooding – on any portion of the readway to be closed
Evacuation	Any evacuation (regardless of location) that may impact traffic that the STOC is notified/aware of
Security	Any security event related to/on state infrastructure Bomb threat SWAT operation Intentional blockage Sheating/sniper Dightary suits or unplanned/unexpected dightary visit (i.e., President, vice President, etc.) 5 suicide or suicide attempt
High Profile, Public/Media or Politically Sensitive Event	Any incident that is getting or anticipated to get high media coverage for example: • A traffic incident that involves the Governor/a Mayor and/or another high- ranking/high-profile individual
Expectations and Respo	onsibilities:
It is the responsibility of th When a significant incide contacting either the Adm the RDO shall call the STO If the STOC does not recel minutes of contacting the management on-call pers	e RDO to ensure that the Administrator's Office is notified of all significant incidents nt occurs, the RDO, or their designee, shall notify the Administrator's Office his inistrator or one of the Deput Administrator. Some notification has been made C and confirm that the Administrator's Office has been contacted. We confirmation that the Administrator's Office has been notified within 30- RIMC about algorithmation term is expected the STOC will contact the BTO on. BTO management will then move forward with notifying the Administrator's will also provide confirmation that notification to the Administrator's Office will

Significant Incident Criteria for RDO Notification: Administrator Office Notification Guidelines

Trainer Guidance:

- The criteria are a list of minimum thresholds and should not be considered all inclusive
- RIMCs need to use reasonable judgment and engineering expertise to determine when an incident goes beyond the criteria
- When in doubt contact the RDO
- Review the expectations and responsibilities on the bottom of the Administrator Office Notification Guidelines
 - RIMCs need to understand their importance in the notification to the RDO
 - This document was reviewed and approved by the WisDOT Secretary's and DTSD Administrator's Offices July 3, 2014

Transition to next slide: Now let's look at the ways a RIMC responds to an incident

Trainer handouts:

- Administrator Office Notification Guidelines
- DTSD Regional Lane Closure System (LCS) Priority Routes



Three Ways To Respond

<u>Transition to next slide</u>: Let's look at the RIMC expectations for the three ways of responding



RIMC Response Expectations

Trainer Guidance:

• There are three ways to respond to an incident depending on its scope and duration:

Field, Home, Office

- RIMCs may use a combination of the three
- The RIMC's decision on the way to respond, field, home, office or combination of the three, is based upon all available information and engineering judgment
- When in doubt, go out!

<u>Transition to next slide</u>: The next series of slides will go into detail on RIMC response expectations for each of the three ways



Field Response Expectations

Trainer Guidance:

- Obtain situational awareness of:
 - Alternative route
 - Traffic Incident Management Area (TIMA)
 - Traffic queuing
 - Secondary incidents
 - Deployment of PCMS, DMS, etc.

• Be prepared to advise Incident Commander or on-scene contact from your pre-arrival review as to what resources could be deployed and how you can assist with scene management

• Cones, barricades and county highway department flagging resources, etc.



Field Response Expectations Cont.

Trainer Guidance:

- When you arrive on scene:
 - Follow Incident Command System (ICS) principles
 - Report to Incident Command post or Incident Commander (IC)
- Brief IC or designee on pre-arrival assessment and recommendations
 - If IC is busy or has not been identified, make contact with person in charge of the incident



Field Response Expectations Cont.

Trainer Guidance:

• The RIMC shall complete a full assessment of the incident including the information above

• Work with the IC or designee to coordinate/manage to clear the incident and reopen the roadway

NOTE:

• Throughout the incident the RIMC needs to frequently re-evaluate and assess whether the incident is escalating

• Stay on scene until the incident is cleared and roadway is ready to reopen



Field Response Expectations Cont.

Trainer Guidance:

• Throughout the duration of the incident the RIMC will continue to contact and update the TMC and RDO on the status, if warranted

- Monitor TIAs for accuracy
 - Is information output accurate?
 - Incorrect information in a TIA can have an adverse affect on the public and incident scene
- If the media approaches you, refer them to the IC or agency in charge
- Inform the TMC when the incident is cleared and you are leaving the scene
- Document all communications in the RIMC log and timeline
 - Take pictures and/or video for situational awareness if possible
 - Slide picture is from Adams County crash

<u>Transition to next slide</u>: The next slides will be discussing the two other ways of response: office and home



Home and Office Response Expectations

Trainer Guidance:

• Usually after-hours response will be from home, but the RIMC has the discretion of responding from the office where they can utilize the technology/communications and resources that an office environment affords

• Regardless if the response is from the home or office, it is expected the RIMC will be actively involved from beginning to end of the incident

Transition to next slide: Let's review an actual incident that was handled from home



Home Response Example

Trainer Guidance:

• Hand out both references: RM_1_RIMC_eLog_24536 and RM_2_SINS_58_24536, which display an example of an incident where the RIMC responded from home

- Discuss the response process and documentation
- Based on the scope, estimated duration and distance from the scene, it was decided to respond from home
- Notice how the scene was cleared, however the scene situation was still not stable even after all vehicles were cleared
- RIMC made sure incident area was safe for travel by utilizing a trooper to assess the shoulder integrity
- Incident duration is from the time incident occurred until the time the scene was cleared of all vehicles: 2 hours, 51 minutes (1535 to 1826)
- Incident duration from the time incident occurred until the RIMC made sure the roadway was safe and incident closed out: 3 hours, 46 minutes (1535 to 1921)



Home and Office Response Expectations Cont.

Trainer Guidance:

• Communicate and coordinate with the on-scene contact or others to obtain information for incident management: Usual key contacts, i.e. County Highway Department, Law Enforcement, Incident Commander

- Find a different on-scene contact if you're not confident in the information being provided
- Utilize on-scene contact to review traffic control set up and alternate route
 - Be prepared to offer suggestions for a secondary alternate route or revisions to the traffic control set up
 - Be prepared to advise what resources could be deployed to assist with scene management
 - Cones, barricades, flagging, etc.
 - Maintain awareness of traffic queues
 - Assess if the queues are getting too long
- · Utilize on-scene contact to assess and monitor infrastructure integrity
- TMC can also utilize the view of on-scene and provide updates on events through CCTV, where available



Home and Office Response Reminders

Trainer Guidance:

• You are expected to communicate to the TMC the way you are responding: field, home or office

Reminder: RIMCs still have the same responsibilities when responding from the office or home as they do when responding to the field

<u>Transition to next slide</u>: It's important to go over the TMC communication/update responsibilities and expectations one more time



TMC Communication Update Reminder

Trainer Guidance:

- This reminder pertains to all three ways of responding to incidents
- When calling the TMC operators, remember to identify yourself and provide the incident number

• The TMC is statewide and often handles multiple incidents at a time, so identifying yourself and providing the incident number eliminates confusion between the incidents the TMC operators are handling

- The updates trigger the TMC operator or DSP dispatch to update TIAs
- The TMC should be informed of the way the RIMC is responding to the incident
- Keep TMC apprised of any and all changes in incident status, scope, duration and change in traffic control mitigation strategies
- Update the TMC as often as you need to, they are your partner in these efforts
- Phone communication will continue throughout the duration of the incident

<u>Transition to next slide</u>: Now that we have covered the RIMC responsibility protocols, lets apply what we have learned to three practical scenarios

*Take a break between Section 3 and Section 4, Scenarios



Introduction slide to RIMC Field Training Part 1, Section 4:

Incident Response Scenarios

Trainer Guidance:

- Section 4 Incident Response Scenarios will cover three (3) scenarios:
 - Bridge Strike
 - Work Zone Incident
 - Severe Traffic Backups (3 or more miles)



Incident Scenario 1: Bridge Issue introduction slide

Trainer Guidance:

- Reference Materials used for this scenario include:
 - RIMC Field Guide
 - RIMC Scenario Matrix, Bridge Issue
 - Administrator Office Notification Guidelines
 - S1_RIMC_Log_49_21294
 - S1_TIA_49_21294
 - S1_SINS_49-21294 (For Trainer reference of incident only)



Bridge Issue Scenario: Evaluate/Assess

Trainer Guidance:

• Engage RIMC in discussion to think about the information he/she would need to gather for evaluation and assessment of this incident using the RIMC Scenario Matrix

- What are the impacts to the structure and is there an immediate need for a certified bridge engineer/inspector
- What are the considerations to traffic impacts; i.e. time of day, queuing and traffic control set up, adjoining roadways
- What would be the needed information to consider for a detour i.e. safety of infrastructure, detour needed for traffic below/above bridge, short or long term closure of road/lane/shoulder, queuing or delay issues?
- Does the information here invoke RDO notification of a Significant Incident?

<u>Transition to next slide</u>: Now we are going to review the RIMC Scenario Matrix for Evaluate/Assess



RIMC Scenario Matrix: Bridge Issue - Evaluate/Assess

Trainer Guidance:

• Slide represents statewide considerations for strike, collapse and lift bridge and may not be all inclusive

• RIMC will need to use engineering knowledge, expertise and other resources to complete the evaluation/assessment process, i.e. certified bridge engineer/inspector

• Verify there are no vehicles trapped anywhere within the closure or queue for longer than 30 minutes

• Travelers trapped for any length of time tend to use social media to get or pass along information

• Vehicles trapped on the system for any length of time, dependant on weather and other risk factors, may cause reason for incident escalation

• Regional specific protocols need to be considered here



RIMC Scenario Matrix: Bridge Issue – Detour

Trainer Guidance:

• Slide represents statewide considerations for strike, collapse and lift bridge and may not be all inclusive

• RIMC will need to use engineering knowledge, expertise and other resources to complete detour evaluation/assessment

- · Someone in the field may assist in the evaluation and assessment of the detour route
- Find a different on-scene contact if you're not confident in the information being provided
- · Regional specific protocols need to be considered here



Bridge Issue Scenario: Respond/Coordinate

Trainer Guidance:

• Engage in discussion to get the RIMC thinking of the different tasks he/she may need to do while coordinating with first responders and highway department personnel

 The discussion should include suggestions on what resources can be coordinated or utilized

- · Discuss the importance of actual response in the field
 - RIMC is responsible for overall situational awareness and reporting even if bridge engineer/inspector responds

• RIMC response will include coordinating resources internally and with various responder i.e. law enforcement, bridge engineer, RDO, and TMC

• What traffic control measures might be considered i.e. lane closures, DMS/PCMS for traveler advance warning, ramp gates for closure of on ramps?

- Who does the RIMC coordinate response with i.e. bridge engineer, county highway department, project manager, first responders, incident commander on scene?
- Regional specific protocols need to be considered here

<u>Transition to next slide</u>: Lets look at the RIMC Scenario Matrix for Response/Coordinate



RIMC Scenario Matrix: Bridge Issue – Respond/Coordinate

Trainer Guidance:

• Discuss regional specific protocols involving bridge issues


Bridge Issue Scenario: Bridge Issue – Communicate

Trainer Guidance:

• Stress importance of communicating from the beginning of the incident until the very end; i.e., incident commander, RDO, TMC, law enforcement/dispatch, county highway department, others

• Communication is continual and constant providing situational awareness and assistance for incident management no matter what time of day or night

• When in doubt RIMC should contact the RDO

• Discuss other possible contacts the RIMC should consider i.e. project manager, maintenance staff

Transition to next slide: Review the RIMC Scenario Matrix: Communicate



RIMC Scenario Matrix: Bridge Issue - Communicate

Trainer Guidance:

• Slide represents the minimum standard for communication during a bridge strike, collapse or lift bridge incident and may not be all inclusive

- The bridge engineer/inspector reference can be found on the DOTNET:
 - DTSD Emergency contacts WisDOT Emergency Information
 - <u>http://dotnet/dtsd/emergency/index.htm</u>

• It is important to impress upon the RIMCs that they may contact the bridge engineer/inspector if they have any questions or concerns

- Major incidents may need the assistance of a Regional Communication Officer (RCM)
 - Possibly for press release
 - Possibly for media monitoring

• Discuss regional specific protocols for communication, i.e. regional bridge engineer/inspector, RCM, etc.



WisDOT Bridge Structure Emergency



Bridge Issue Scenario: Document

Trainer Guidance:

• Handout and review RIMC Response Log #49-21294 to encourage awareness of the information the RIMC needs to be gathering and documenting during the entire event

 Handout the corresponding TIAs and review the initial notification with second and third updates

• TIAs provide information, but this information should also be vetted by the RIMC for accuracy

- Re-emphasize the four components
 - Evaluate/Assess
 - Response/Coordination
 - Communication
 - Documentation
- Importance of photo and video documentation
 - This is for situational awareness: i.e. RDO, central office/bridge staff
- Discuss the necessity to document information throughout the incident
 - Discuss the importance of keeping documentation for the RIMC log timeline
- The paper RIMC Response Log can be used as a reminder of needed information
- Discuss where the RIMC can obtain information from i.e. TMC/SINS e-log, TIAs, law enforcement dispatch, on-scene responder, incident commander



RIMC Scenario Matrix: Bridge Issue - Document

Trainer Guidance:

• Redirect to the RIMC Scenario Matrix



Incident Scenario 2: Work Zone Incident introduction slide

Reference Materials:

• Reference_Materials used for this scenario include:

- RIMC Field Guide
 - RIMC Scenario Matrix, Maintenance/Work Zone Incident
 - Administrator Office Notification Guidelines
- S2_RIMC_eLog_23982
- S2_TIA_23982
- S2_SINS_30_23982 (For Trainers reference of incident only)



Work Zone Incident Scenario: Evaluate/Assess

Trainer Guidance:

• Listen to the audio of the initial call to the TMC

• This scenario is a crash that impacted a contractor's temporary traffic control device in a work zone

<u>Transition to next slide</u>: You heard the call come into the TMC, now let's listen to the call from the TMC to the RIMC



Work Zone Incident Scenario: Evaluate/Assessment

Trainer Guidance:

RIMC Call

- Trainer should play the RIMC work zone assistance call
- Determine the <u>scope</u> and <u>impacts</u> of the incident in the work zone:
 - Contractor's temporary traffic control devices
 - Contractor's temporary roadway
 - Crash
 - Severe traffic delays
 - Water/flooding on the roadway
 - Other

• The RIMC will need to determine who the incident is associated with for the maintenance of the work zone; including, but not limited to, temporary traffic devices or roadways:

- Contractor
- DOT
- County/local agency
- Other
- · Review what other information might be needed to evaluate appropriate response
 - Traffic Management Plan (Also available at TMC)
 - Contractor's contact information (Should be on iPad/iPhone)



Protocol for Project Related Incidents

Trainer Guidance:

• RIMC should not deploy county forces within a project work zone without RDO approval

<u>Transition to next slide</u>: Again, this is the suggested statewide evaluation/assessment recommendations for work zone incidents



RIMC Scenario Matrix: Maintenance/Work Zone Incident

Trainer Guidance:

• Slide represents statewide considerations for maintenance/work zone incidents and may not be all inclusive

· Provide any regional specific protocols for maintenance/work zone incidents

• Verify there are no vehicles trapped anywhere within the closure or queue for longer than 30 minutes

- Travelers trapped for any length of time tend to use social media to get or pass along information
- Vehicles trapped on the system for any length of time, dependant on weather and other risk factors, may cause reason for incident escalation



RIMC Scenario Matrix: Maintenance/Work Zone Incident

Trainer Guidance:

• Consult and evaluate the implementation of project Traffic Management Plans (TMP) (May be available from TMC on request)

<u>Transition to next slide</u>: Let's listen to the call that came in to the TMC from the county dispatcher



Work Zone Incident Scenario: Respond/Coordinate

Trainer Guidance:

- Listen to the County Dispatch Call
- Information is often received by the TMC from various responding agencies
 - Accuracy of information needs to be vetted, i.e. county dispatch says they are handling when DSP is actually handling
 - When information is unclear during an incident, there is still a need to work together and follow up or provide clarification once the incident is closed
 - Clarification provides information for future response and after action reviews

• RIMC plays an important role in assessing information accuracy and clarifying who is responsible for scene incident command. That information needs to be conveyed back to the TMC

• Discuss the response the RIMC might have as a result of responding from the office or home, i.e. project manager, contractor, RDO, etc.

• Response in this issue would mean phone call coordination and communication with incident commander

<u>Transition to next slide</u>: In looking at the RIMC Scenario Matrix, response to the field would be probable, but may not be required



RIMC Scenario Matrix: Response/Coordinate



Work Zone Incident Scenario: Communicate

Trainer Guidance:

• Discuss the responsibility of the RIMC to coordinate via communications in this issue, i.e. incident commander, DSP dispatcher, county dispatcher, contractor, contract construction lead, TMC, etc.

• Discuss how quality communication is important because it is used as the basis for many other forms of situational awareness:

- TIA being sent out to law enforcement, media, trucking industry, etc.
- Use of DMS to advise traveling motorists
- 511, Twitter

• Refer to the RIMC Scenario Matrix and discuss Maintenance/Work Zone Incident under the Miscellaneous Incidents category

RIMC Scenario Matrix - Communicate

• Provide frequent and timely situational awareness updates to RDO, RCM and TMC as scene changes

• Provide updates and confirm accuracy with TMC for 511, TIA and floodgate information

Transition to next slide: Lastly lets look at the documentation for this incident

RIMC Incident Scenario: Work Zone Incident

Document

- Maintain RIMC response log
- Take photos or video if field response is warranted
- Document incident as it occurs to provide situational awareness
- Forward to construction staff, RDO and RCM if possible
- Note: Timeline is vital in documentation for project construction files



Work Zone Scenario: Document

Trainer Guidance:

- Handout: RIMC Response Log #30-23982, SINS and TIA
- · Re-emphasize the four key components
 - Evaluate/Assess
 - Respond/Coordinate
 - Communication
 - Documentation
- Express importance of photo and video documentation
 - This is for situational awareness, i.e. RDO, RCM and construction staff
- Discuss the necessity to document information throughout the incident
 - · An accurate log with timelines is important for project construction files
- The paper RIMC Response log can be used as a reminder of needed information
- Discuss where the RIMC can obtain information from, i.e. TMC/SINS e-log, TIAs, law enforcement dispatch, on-scene responder

RIMC Scenario Matrix – Document

- Maintain RIMC response log
- Take photo, video, if possible, to provide situational awareness
- Acquire dispatch CAD record number



Incident Scenario 3: Severe Traffic Backups – 3 Miles or More

Trainer Guidance:

- Reference Materials used for this scenario include:
 - RIMC Field Guide
 - RIMC Scenario Matrix, Severe Traffic Backups 3 Miles or More
- Reference materials for this scenario include:
 - S3_RIMC_eLog_24304
 - S3_SINS_67_24304 (For Trainers reference of incident only)



Severe Traffic Backups Scenario

Trainer Guidance:

- Play the video and hand out RIMC log #24304
 - Video is what TMC verified prior to calling the RIMC
- Video timeline (Time does not match log) Information for trainer only
 - 3:56 Normal Traffic Flow
 - 3:57 Vehicle stops inside median lane, pedestrian walks back to scene
 - 3:58 Other vehicles stop in median
 - 4:00 WB traffic visibly slowing (gawkers)
 - 4:02 Eastbound queue



RIMC Scenario Matrix: Evaluate/Assess

Trainer Guidance:

- Review the RIMC log to 5:15 PM prior to notification of helicopter request
- Discuss the RIMC Scenario Matrix and how each point fits into a traffic backup situation
- This is currently **not** a significant incident notification unless the incident causing the backups requires or meets the RDO notification criteria
- 3 miles of backup on any state highway is a SINP trigger for RIMC notification only
- Verify/determine the cause of the backup so steps can be taken to eradicate it
- Verify there are no vehicles trapped anywhere within the closure or queue for longer than 30 minutes
 - Travelers trapped for any length of time tend to use social media to get or pass along information
 - Vehicles trapped on the system for any length of time, dependant on weather and other risk factors, may cause reason for incident escalation
- Review RIMC Scenario Matrix Detour below in the event the incident escalates
 - Assess traffic impacts on alternate routes
 - Readjust signal timing on alternate routes

RIMC Scenario Matrix - Detour

- · Possible detour may be needed depending on duration of incident
- Review and set up for detour route
- Travel or delegate someone to travel the detour route to make sure the route is adequate to accommodate traffic, i.e. pavement quality, volume of traffic
 - Reassess alternate route periodically, dependant on duration and timing (peak hours) of backups
 - Emergency alternate route guides may be invoked

Transition to next slide: Let's watch the next video where the scene escalates to a full

closure



Severe Traffic Backups Scenario: Response/Coordinate

Trainer Guidance:

- Review RIMC log at 5:30 PM, point of full closure to 5:45 PM
- Video timeline (Time does not match log) Information for trainer only
 - 4:06 TIMA setup
 - 4:08 Reposition of TIMA and delays continues to build
 - 4:22 Eastbound traffic directed off and eastbound closed
 - 4:24 Another ambulance arrives and Flight for Life (FFL) incoming
 - 4:27 FFL arrives
 - 4:29 FFL landing with WB closed, traffic backups on both sides now
- Reassess RIMC response throughout entire incident
 - Field, home or office response will be dependent on incident scope
 - Reassess a detour due to the full closure
 - During business hours, the RIMC may ask personnel who are close to the scene to respond as the on-scene contact
 - When in doubt, go out!
- Discuss how an incident can impact both sides of the road and ancillary roads
- Utilize DMS, PCMS, ramp gates and advanced notice signs if available and needed

Transition to next slide: Rule of thumb/side note...



Rule of Thumb

Trainer Guidance:

- · Congestion and delay are probably the most obvious impacts of a traffic incident
- As illustrated by the pie chart on the right, studies have shown that at least ¼ of all congestion and delay is caused by non-recurring events such as traffic incidents
- General guidance:
 - Every minute of lane blockage causes 4 minutes of delay 4 to 1 ratio
 - Consider this if a lane on the Interstate is closed for 15 minutes it will effectively cause 1 hour of delay

Reference:

 Traffic Control and Scene Management Guidelines - Traffic Congestion and Reliability Report - 090105.pdf



RIMC Scenario Matrix: Severe Traffic Backups

Trainer Guidance:

• Determine traffic control actions based on cause, scale and estimated duration of backups

• May be working with contractors or traffic control sub-contractors

• (Side note: This incident is adjacent to a construction zone)

• Coordinate traffic control strategies with incident commander, county highway department and TMC

Transition to next slide: Lets look at communication efforts



Severe Traffic Backups Scenario: Communicate

Trainer Guidance:

- Review video and RIMC log from 5:59 PM until end of log
- Video timeline (Time does not match log) information for trainer only
 - 4:41 FFL departs
 - 4:42 Westbound lanes open
 - 4:44 Eastbound lanes open
 - 4:49 Eastbound queue and exit begin to move
 - 5:14 All lanes open and traffic moving
- Important to communicate with TMC for 511, TIA and floodgate information accuracy

RIMC Scenario Matrix - Communicate

- Contact RDO when incident meets criteria for notification of a Significant Incident
- · Provide frequent and timely situational awareness updates to TMC as scene changes
 - Provide updates and confirm accuracy with TMC for 511, TIA and floodgate information



Severe Traffic Backups Scenario: Document

Trainer Guidance:

- Talk about the RIMC e-Log #67-24304
 - Express importance of an accurate timeline
 - Reiterate the use of the paper log
 - Log lists the data fields for needed information
 - As a temporary log until the eLog can be filled out
 - Pictures and videos can assist with situational awareness when available

RIMC Scenario Matrix - Document

Maintain RIMC response logs

<u>Transition to next slide</u>: This concludes the three specific scenarios let's look back at our objectives for this training



RIMC Incident Response Scenarios Summary

Trainer Guidance:

- Just went through three out of the 12 scenarios
- The RIMC Scenario Matrix is a guide to response

• The Matrix may not be all inclusive and RIMCs need to use their judgment and expertise in responding to different incidents

Transition to next slide: Part 2 of this training will discuss the RIMC mentoring process



Introduction slide to Part 2: Regional RIMC Mentoring Process



Regional RIMC Mentoring Process

Trainer Guidance:

• Regional Operations Managers approved, May 2014: For training purposes, 2 RIMCs (1 experienced and 1 new RIMC), can be on-call at the same time for the new RIMC's first rotational period

• It is the Region's responsibility to identify who the best experienced RIMC is to serve as a mentor for the new RIMC

• The RIMC mentor shall assist the new RIMC with filling out the eLog for the first time

Transition to next slide: So, what are the next steps?



Next Steps

Trainer Guidance:

• Trainers need to schedule regional RIMC Field Training for all existing RIMCs and Operations Supervisors to be completed by the end of August 2014

• Attendance forms for RIMC Field Training attendees should be emailed back to the TMC (attachment) at: <u>TMC@dot.wi.gov</u> Attention: ETO Program Manager

• Future RIMCs coming on board after August 2014 are required to take this training

Note:

• Trainers will meet in September to share feedback, comments and suggestions on improvements to the Train-the-Trainer manual and resources